I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES
STAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER
FOR PATENTS, WASHINGTON, DC 20231, ON THE DATE INDICATED BELOW.

BY: C. Clarke-Rolinson

DATE: March 22 2001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Patent Application of

: Group Art Unit: 1775

Appln. No.:

Nanning J. Arfsten, et al.

: Examiner: L. Miranda

Conf. No.:

8672

09/437,948

Filed:

November 10, 1999

: Attorney Docket

For:

NIOBIUM OXIDE-BASED LAYERS

No. **275-3**

FOR THIN FILM OPTICAL COATINGS AND PROCESSES FOR PRODUCING

THE SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMEN

It is requested that the enclosed references listed on the attached Information Disclosure Citation Form PTO-1449 be considered by the Patent Examiner in connection with the above-identified application and be made of record therein. Some of the references were cited in a communication (copy enclosed) dated February 15, 2001 from the European Patent Office concerning counterpart European Application No. 00 123 018.4-2217 not more than three months prior to filing this Statement.

- [X] A check in the amount of \$180.00 for submission of an Information Disclosure Statement under 37 C.F.R. §1.97(c) and §1.17 (p) is enclosed herewith.
- [X] The Commissioner is hereby authorized to charge Deposit Account No. 50-1017 (Billing No. 200275.0003) as noted below. A duplicate copy is enclosed.
 - [] Information Disclosure Statement Fee under 37 C.F.R. §1.97(c) and §1.17(p) in the amount of \$180.00.
 - [X] Any deficiencies or overpayments in the above-calculated fee.

Independent consideration and acknowledgment of the enclosed reference(s) are respectfully requested.

Respectfully submitted,

NANNING J., ARFSTEN et al.

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Enclosures